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## Non-uniform seasonal warming regulates vegetation greening and atmospheric CO<sub>2</sub> amplification over northern lands

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# 1 Non-uniform seasonal warming regulates vegetation greening and 2 atmospheric CO<sub>2</sub> amplification over northern lands

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## 32 Key Points:

- 33 • The increasing trend of the atmospheric CO<sub>2</sub> amplitude slowed down during mid-1990s to  
34 mid-2000s.
- 35 • The asymmetric response of vegetation growth to spring and autumn warming is an  
36 important driver for the change in seasonality of atmospheric CO<sub>2</sub>.
- 37 • A better representation of the autumn phenology would improve the models' performance  
38 on the seasonal cycle of atmospheric CO<sub>2</sub>.

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4 **Abstract**  
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7 41 The enhanced vegetation growth by climate warming plays a pivotal role in amplifying the  
8 42 seasonal cycle of atmospheric CO<sub>2</sub> at northern lands (>50°N) since 1960s. However, the  
9 43 correlation between vegetation growth, temperature and seasonal amplitude of atmospheric  
10 44 CO<sub>2</sub> concentration have become elusive with the slowed increasing trend of vegetation growth  
11 45 and weakened temperature control on CO<sub>2</sub> uptake since late 1990s. Here, based on in-situ  
12 46 atmospheric CO<sub>2</sub> concentration records from the Barrow observatory site, we found a  
13 47 slowdown in the increasing trend of the atmospheric CO<sub>2</sub> amplitude from 1990s to mid-2000s.  
14 48 This phenomenon was associated with the paused decrease in the minimum CO<sub>2</sub> concentration  
15 49 ([CO<sub>2</sub>]<sub>min</sub>), which was significantly correlated with the slowdown of vegetation greening and  
16 50 growing-season length extension. We then showed that both the vegetation greenness and  
17 51 growing-season length were positively correlated with spring but not autumn temperature over  
18 52 the northern lands. Furthermore, such asymmetric dependences of vegetation growth upon  
19 53 spring and autumn temperature cannot be captured by the state-of-art terrestrial biosphere  
20 54 models (TBMs). These findings indicate that the responses of vegetation growth to spring and  
21 55 autumn warming are asymmetric, and highlight the need of improving autumn phenology in  
22 56 the models for predicting seasonal cycle of atmospheric CO<sub>2</sub> concentration.  
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## 1 Introduction

Temporal dynamics of atmospheric CO<sub>2</sub> concentration, climate and terrestrial carbon (C) cycle are strongly linked in the present (Schneising *et al* 2014) and past (Montañez *et al* 2016) Earth systems. For example, the recent inter-annual variability of atmospheric CO<sub>2</sub> growth rate is largely caused by fluctuations in terrestrial CO<sub>2</sub> uptake (Myneni *et al* 1997, Keenan *et al* 2016), which is mainly driven by variations in climate (Poulter *et al* 2014, Ahlström *et al* 2015, Jung *et al* 2017). On the decadal scale, an increasing amplitude of the atmospheric CO<sub>2</sub> seasonal cycle at northern high latitudes has been observed since 1960s (Bacastow *et al* 1985, Kelling *et al* 1996, Randerson *et al* 1997, Graven *et al* 2013), e.g., about 0.53% yr<sup>-1</sup> at the Point Barrow (BRW) during 1971-2011 (Forkel *et al* 2016). Although the major contributors to such trend of seasonal atmospheric CO<sub>2</sub> amplitude are still in debate (Gray *et al* 2014, Zeng *et al* 2014, Ito *et al* 2016, Wenzel *et al* 2016, Piao *et al* 2017a), the associated increases in mean annual temperature (MAT) and vegetation growth has been recognized as one important driver (Forkel *et al* 2016, Piao *et al* 2017a, Gonsamo *et al* 2017, Yuan *et al* 2018). Recently, non-uniform warming trends among seasons have been detected over the northern lands (Xu *et al* 2013, Xia *et al* 2014). Given that climate warming in different seasons would influence vegetation growth differently (Xu *et al* 2013, Xia *et al* 2014, Cai *et al* 2016), the role of seasonal non-uniform warming in affecting the vegetation growth as well as the recent changes of the atmospheric CO<sub>2</sub> amplitude remains unclear.

Some recent evidence has implied weakening correlations of MAT with vegetation growth and atmospheric CO<sub>2</sub> concentration in the past three decades. First, the MAT across the northern high latitudes has kept rising whereas vegetation greenness has begun to decline since late 1990s (Bhatt *et al* 2013, Jeong *et al* 2013). Second, the advanced spring phenology in response to climate warming has been reported to diminish at northern high latitudes over the last two decades (Fu *et al* 2015, Wang *et al* 2015). Third, a weakening inter-annual correlation of temperature with vegetation greenness (Piao *et al* 2014) or spring ecosystem CO<sub>2</sub> uptake (Piao *et al* 2017b) has been detected at northern latitudes during recent years. In northern temperate ecosystems, a negative correlation between the atmospheric CO<sub>2</sub> amplitude and

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4 86 temperature anomalies during 2000s has been found through the analysis of space-borne  
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6 87 atmospheric CO<sub>2</sub> measurements (Schneising *et al* 2014). Thus, it is important to examine how  
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8 88 the temperature changes in different seasons have contributed to such weakening correlations  
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10 89 of MAT with vegetation growth and seasonal CO<sub>2</sub> amplitude in recent years.

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12 90 In this study, we investigated the relationships between changes in the seasonal CO<sub>2</sub>  
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14 91 amplitude, vegetation greenness and seasonal air temperature in northern lands (>50°N) during  
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16 92 the last three decades. The analyses were based on long-term monitoring records of  
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18 93 atmospheric CO<sub>2</sub>, global gridded climate datasets, and satellite-derived Normalized Difference  
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20 94 Vegetation Index (NDVI). We also examined the relationships between temperature and gross  
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22 95 primary productivity (GPP) in five terrestrial biosphere models (TBMs), which have been  
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24 96 commonly incorporated into Earth system models for future projections of climate and  
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26 97 atmospheric changes.

## 28 29 98 **2 Data and Methods**

### 30 31 32 99 **2.1 Atmospheric CO<sub>2</sub> measurements**

33  
34 100 There are 19 CO<sub>2</sub> measurement sites in the NOAA's Global Greenhouse Gas Reference  
35  
36 101 Network (<https://www.esrl.noaa.gov/gmd/ccgg/ggrn.php>) and 3 sites in Scripps CO<sub>2</sub> program  
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38 102 ([http://scrippsco2.ucsd.edu/data/atmospheric\\_co2/sampling\\_stations](http://scrippsco2.ucsd.edu/data/atmospheric_co2/sampling_stations)) located at lands over 50°  
39  
40 103 N. Among these sites, only the Barrow observatory (BRW) site recorded the atmospheric CO<sub>2</sub>  
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42 104 concentration (i.e., [CO<sub>2</sub>]) continuously during 1982-2010. Thus, in this study, the in-situ long-  
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44 105 term CO<sub>2</sub> measurements from BRW were regarded as the homogeneous CO<sub>2</sub> concentration in  
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46 106 northern lands (>50°N). The seasonal curve of this [CO<sub>2</sub>] record was shown in figure S1.

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48 107 The in-situ [CO<sub>2</sub>] observations at the BRW site were collected hourly. The daily and  
49  
50 108 monthly data provided by NOAA were averaged from the hourly observations. This study used  
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52 109 the monthly data to derive the CO<sub>2</sub> amplitude. The anomalies of monthly [CO<sub>2</sub>] (i.e., monthly  
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54 110 [CO<sub>2</sub>] - yearly mean [CO<sub>2</sub>]) were first calculated and then were used to derive the maximum  
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56 111 (i.e., [CO<sub>2</sub>]<sub>max</sub>) and minimum (i.e., [CO<sub>2</sub>]<sub>min</sub>) monthly [CO<sub>2</sub>] in each year. The difference  
57  
58 112 between [CO<sub>2</sub>]<sub>max</sub> and [CO<sub>2</sub>]<sub>min</sub> was defined as the CO<sub>2</sub> amplitude ([CO<sub>2</sub>]<sub>amplitude</sub>).

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4 113 To avoid the biases of various processing algorithms, we collected the estimates of  
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6 114  $[\text{CO}_2]_{\text{amplitude}}$  from Forkel *et al* (2016) and the GLOBALVIEW products (figure S2). In Forkel  
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8 115 *et al.* (2016), the time series of daily  $[\text{CO}_2]$  records were first fitted with polynomial and  
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10 116 harmonics functions and then de-trended with the Fast Fourier Transformation (FFT) method  
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12 117 (Thoning *et al* 1989, Thoning *et al* 2015). In each calendar year, the  $[\text{CO}_2]_{\text{amplitude}}$  was calculated  
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14 118 as the peak-to-trough difference of the de-trended seasonal cycle. The data in the  
15  
16 119 GLOBALVIEW-CO<sub>2</sub> product has already been smoothed, interpolated and extrapolated  
17  
18 120 (Masane KA & Tans PP 1995). GLOBALVIEW-CO<sub>2</sub> provides observations at 7-day intervals.  
19  
20 121 We obtained its  $[\text{CO}_2]_{\text{amplitude}}$  as the difference between the maximum and minimum weekly  
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22 122 CO<sub>2</sub> data in each calendar year. According to the Theil-Sen analysis, the long-term trends of  
23  
24 123  $[\text{CO}_2]_{\text{amplitude}}$  were consistent among these processing methods (figure S2).

## 26 124 **2.2 Temperature analysis**

28 125 The temperature trends were analyzed based on the latest version of the CRU temperature data  
29  
30 126 (CRU TS4.0). It is gridded with a spatial resolution of  $0.5^\circ \times 0.5^\circ$  at a monthly time step. This  
31  
32 127 product is gridded using the Angular-distance weighting (ADW) interpolation (Harris & Jones  
33  
34 128 2017) based on the observations collected from 2600 stations worldwide (Harris *et al* 2014).  
35  
36 129 The CRU climate products have been widely used for phenology analysis and for driving  
37  
38 130 different types of ecosystem models (Koven *et al* 2011, Fu *et al* 2014, Xia *et al* 2017). In this  
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40 131 study, seasonal temperature was averaged from monthly temperature following the definition  
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42 132 of four seasons: Spring, March–May; Summer, June–August; Autumn, September–November;  
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44 133 Winter, December–February. Besides, MAT of a given year was defined as the average of the  
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46 134 monthly temperature from January to December. The Theil-Sen estimator and Mann-Kendall  
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48 135 trend test were applied in detecting the temporal trends of the seasonal temperature (see more  
49  
50 136 details at the Section 2.6).

## 52 137 **2.3 Satellite derived NDVI**

55 138 The normalized difference vegetation index (NDVI) is widely used as an indicator for  
56  
57 139 vegetation productivity (Myneni *et al* 1997, Zhou *et al* 2001). It is calculated as the normalized  
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59 140 ratio between near infrared and red reflectance bands (Tucker *et al* 1979, Tucker *et al* 2005).  
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4 141 The NDVI used in this study is from the Advanced Very High Resolution Radiometer (AVHRR)  
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6 142 sensors, which has the longest record of continuous satellite data since 1981. Here, we used the  
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8 143 newest version of GIMMS NDVI dataset (NDVI3g) (Tucker *et al* 2005, Pinzon *et al* 2014). It  
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10 144 is a global product at spatial resolution of  $\sim 8 \times 8$  km and temporal resolution of 15 days. The  
11  
12 145 NDVI3g has been widely used for analyzing vegetation changes in recent years (Tucker *et al*  
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14 146 2005, Peng *et al* 2013, Wang *et al* 2014). The maximum value composites (MVC) method  
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16 147 (Holben 1986) was applied to merge segmented data strips to half-monthly values. To lessen  
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18 148 the impacts of sparse soils and snows on vegetation, following Zhang *et al.* (2013), the areas  
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20 149 with multiyear average NDVI less than 0.1 in the northern lands ( $>50^\circ\text{N}$ ) were removed from  
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22 150 the analysis. Moreover, only the half-monthly NDVI from January to September were used to  
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24 151 derive the phenology indices (i.e., start and end of growing season length, see section 2.4).

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26 152 The sum of monthly NDVI from January to December in a certain year was regarded as the  
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28 153 yearly NDVI. Note that the illegitimate signals of the half-monthly NDVI data (i.e., NDVI  
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30 154  $<0.1$ ) were filtered. Regional NDVI used in the trend analysis were averaged from the grids of  
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32 155 the northern lands ( $>50^\circ\text{N}$ ). Before calculating the sensitivity of NDVI to MAT and the partial  
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34 156 correlation between NDVI and seasonal temperature (see section 2.6), yearly NDVI data were  
35  
36 157 resampled to raster of  $0.5^\circ \times 0.5^\circ$ , to couple with the CRU temperature data.

#### 38 158 **2.4 Method of determining growing season length**

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41 159 Growing season length (GSL) was calculated as the difference between the start (SOS) and end  
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43 160 (EOS) of growing season. The SOS and EOS were retrieved from the seasonal NDVI curve in  
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45 161 each year based on the NDVI green-up thresholds, which were determined from the rate of  
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47 162 seasonal changes in the multiyear mean NDVI (Piao *et al* 2006, 2011; Zhang *et al* 2013). More  
48  
49 163 specifically, there were six steps in the determination of GSL. First, we calculated the seasonal  
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51 164 curve of multiyear mean NDVI from 1982 to 2010 for each land grid cell and obtained the  
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53 165 changing rate of NDVI ( $\text{NDVI}_{\text{ratio}}$ ) as:

$$54 \quad 55 \quad 166 \quad \text{NDVI}_{\text{ratio}}(t) = [\text{NDVI}(t+1) - \text{NDVI}(t)] / [\text{NDVI}(t)]$$

56  
57  
58 167 where  $t$  is time throughout the year with an interval of 15 days. Then, after removing evident  
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60 168 noise in the multiyear mean time-series curve of NDVI for each land grid cell, we performed a

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4 169 least-square regression analysis on the curves from January to September and from July to  
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6 170 December for determining the NDVI thresholds of SOS and EOS, respectively, with an  
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8 171 inverted parabola equation:

$$172 \quad \text{NDVI} = a + a_1x + a_2x^2 + \dots + a_nx^n$$

11  
12 173 where  $x$  is the Julian days and  $n$  is 6. The corresponding NDVI( $t$ ) with the maximum or  
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14 174 minimum NDVI<sub>ratio</sub> was used as the NDVI threshold for determining SOS or EOS, respectively.  
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16 175 Next, we performed a least-square regression analysis on the NDVI time-series curve in each  
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18 176 year for each pixel after removing noise in the two different periods. After that, the SOS and  
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20 177 EOS were identified from the fitted NDVI seasonal curves and their NDVI thresholds, by  
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22 178 selecting the day when the fitted NDVI curve first reached the NDVI threshold. Finally, the  
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24 179 GSL in each year for each land cell was calculated from the difference between EOS and SOS.  
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26 180 The method in this study had been validated by ground-based phenological data in Tibetan  
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28 181 Plateau (Zhang *et al* 2013) and has been widely used for detecting phenological changes in  
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30 182 various regions (Piao *et al* 2006, Piao *et al* 2011, Zhang *et al* 2013).

## 31 32 183 **2. 5 Simulated GPP by terrestrial biosphere models.**

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35 184 Outputs of annual GPP from five terrestrial biosphere models (TBMs) which provided all the  
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37 185 land cells above the 50°N in the model integration group of the Permafrost Carbon Network  
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39 186 (<http://www.permafrostcarbon.org/>) were analyzed in this study. The five TBMs are UVic  
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41 187 (Peter 2001, Matthews *et al.* 2004), CoLM (Dai *et al* 2003, Ji *et al* 2014), CLM4.5 (Keith W.  
42  
43 188 Oleson *et al* 2003), TEM604 (Hayes *et al* 2011) and ORCHIDEE (Krinner *et al* 2005). Details  
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45 189 about these TBMs were listed in table S1. The simulation protocol and model's driving data  
46  
47 190 have been described in previous studies (Rawlins *et al* 2015, McGuire *et al* 2016, Peng *et al*  
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49 191 2016). A flux-tower-based GPP database was also used in this study. It was up-scaled from  
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51 192 FLUXNET observations (44 sites locating in the lands northern 50°N) of carbon dioxide,  
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53 193 energy and water fluxes with the machine learning technique of model tree ensembles (MTE,  
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55 194 Jung *et al* 2011). The MTE GPP is a global gridded product with a resolution of 0.5° × 0.5°.  
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57 195 This product has been widely used as benchmarks to evaluate model performance in recent  
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59 196 years (Anav *et al* 2013, Tjiputra *et al* 2013, Peng *et al* 2015, Xia *et al* 2017).



## 197 2.6 Statistical analyses.

198 We estimated the linear trends of the CO<sub>2</sub> indices (i.e., [CO<sub>2</sub>]<sub>amplitude</sub>, [CO<sub>2</sub>]<sub>max</sub> and [CO<sub>2</sub>]<sub>min</sub>),  
199 vegetation and temperature using a non-parametric Theil-Sen estimator over each time period.  
200 The significance of the trend was computed by the Mann-Kendall trend test. Comparing with  
201 the ordinary least squares estimation, the Theil-Sen estimator and Mann-Kendall trend test is  
202 less sensitive to outliers (Fernandes & Leblanc 2005, Wang *et al* 2018). The temporal  
203 anomalies were used for the linear-trend analyses. This analysis can provide both trend and its  
204 level of significance (i.e., the *P* value that quantifies the probability of whether the trend is  
205 statistically significant from zero) for each period.

206 The moving-window method was used to detect whether the increasing trends of CO<sub>2</sub>  
207 indices are persistent. Comparing with the piecewise linear fitting method, it less depends on  
208 the results of single linear segment and the interval-length (Schleip *et al* 2008). This method  
209 has been used in detecting the changes of growing-season length and its response to climate  
210 change on various time scales (Rutishauser *et al* 2007, Schleip *et al* 2008, Jeong *et al* 2011, Fu  
211 *et al* 2015). Because the results based the moving-window analysis may be affected by the  
212 window-length (Fu *et al* 2015), we repeated the moving-window analyses with 10-year, 15-  
213 year and 20-year lengths.

214 The temporal trends of MAT ( $\Delta_{MAT}$ ), the sensitivity of NDVI to temperature ( $\gamma_{NDVI}$ ), and  
215 the sensitivity of CO<sub>2</sub> amplitude to temperature ( $\gamma_{[CO_2]_{amplitude}}$ ) in the periods of 1982-2010  
216 and 1993-2007 were calculated in each grid cell. The  $\gamma_{NDVI}$  and  $\gamma_{[CO_2]_{amplitude}}$  were derived  
217 from the slope of linear regressions, representing the changes of NDVI and [CO<sub>2</sub>]<sub>amplitude</sub> with  
218 per degree change of MAT. One-way ANOVA was used to estimate their differences between  
219 1982-2010 and 1993-2007. All the analyses were applied in *R* (<http://www.r-project.org/>).

220 Partial correlation analyses were applied to exclude the impacts of the co-varying factors.  
221 For example, in calculating the impact of spring temperature on SOS, spring precipitation,  
222 spring solar radiation and last-year's autumn temperature were set as the controlling variables.  
223 Autumn precipitation, autumn solar radiation and spring temperature were set as the controlling

224 variables to quantify the impact of autumn temperature on EOS. Similar method was used to  
225 detect the impact of spring and autumn temperature on annual NDVI and modeled GPP by  
226 replacing the seasonal precipitation and solar radiation with annual values. All the data were  
227 aggregated to the  $0.5^\circ \times 0.5^\circ$  resolution. The precipitation data were derived from the CRU  
228 TS4.0 data set (Harris *et al* 2014) and the radiation data was from the Terrestrial Hydrology  
229 Research Group at Princeton University (Sheffield *et al* 2006).

### 230 **3 Results and Discussion**

#### 231 **3.1 The temporal changes of atmospheric CO<sub>2</sub> seasonal cycle and vegetation greenness**

232 We first examined the trends of the measured annual CO<sub>2</sub> amplitude ( $[\text{CO}_2]_{\text{amplitude}}$ ) at Point  
233 Barrow, Alaska (BRW, 71°N). The non-parametric Theil-Sen estimator showed that the  
234 increasing trends of the  $[\text{CO}_2]_{\text{amplitude}}$  at the BRW ( $0.075 \text{ ppm yr}^{-1}$ ,  $P < 0.05$ ; figure 1(a)) were  
235 associated with the decreasing  $[\text{CO}_2]_{\text{min}}$  ( $-0.058 \text{ ppm yr}^{-1}$ ,  $P < 0.05$ ) rather than the enhancing  
236  $[\text{CO}_2]_{\text{max}}$  ( $0.016 \text{ ppm yr}^{-1}$ ,  $P = 0.17$ ) from 1982 to 2010. The 10-year moving windows show  
237 that the increasing rates of the CO<sub>2</sub> amplitude was slower around 2000 (figure 1(a) and table  
238 S2). To avoid the biases from different time-intervals for trend estimation, we also detected the  
239 trends with 15-year (figure S3) and 20-year (figure S4) moving windows. The results also  
240 showed that the trends of  $[\text{CO}_2]_{\text{amplitude}}$  from mid-1990 to mid-2000 (e.g.,  $0.03 \text{ ppm yr}^{-1}$ ,  $P = 0.55$ ,  
241 1993-2007) (figure S4(a) and table S4) were significantly slower than those during other  
242 periods. A recent study which integrated the CO<sub>2</sub> records from multiple sites also has showed  
243 a stalled trend in the seasonality of atmospheric CO<sub>2</sub> during the same period (Yuan *et al* 2018).

244 A slowdown of vegetation greening since mid-1990s was also observed by our analysis  
245 on the dynamics of NDVI (figure 1(b) and figure S5(d)). This finding is consistent with the  
246 results from recent analyses on vegetation dynamics over the pan-Arctic tundra (Bhatt *et al*  
247 2013, Jeong *et al* 2013). Both the MTE GPP (figure S5(e)) and the ground-based measurements  
248 of growing-season net ecosystem CO<sub>2</sub> exchange (figure S5(f)) showed similar trends since  
249 1990s. These lines of evidences together suggest that the increasing trend of the growing-  
250 season CO<sub>2</sub> uptake has weakened from 1990s to mid-2000s in northern ecosystems.

251 Meanwhile, a weak but significant linear relationship between average NDVI over the  
252 northern lands ( $>50^{\circ}\text{N}$ ) and  $[\text{CO}_2]_{\text{min}}$  at the BRW site was observed during 1982-2010 ( $r = -$   
253  $0.47$ ,  $P < 0.05$ ; figure 1(b)). The synchronous changes of  $[\text{CO}_2]_{\text{amplitude}}$  with  $[\text{CO}_2]_{\text{min}}$  (figure  
254 S5(a), (b) and (c)) and NDVI (figure 1) imply that the long-term positive trend of  $[\text{CO}_2]_{\text{amplitude}}$   
255 is, at least in part, driven by photosynthetic  $\text{CO}_2$  uptake or vegetation growth (Forkel *et al* 2016,  
256 Wenzel *et al* 2016).

### 257 3.2 The asymmetric responses of vegetation growth to spring and autumn warming

258 An increasing body of research has shown a non-linear response or reduced sensitivity of  
259 vegetation growth to rising MAT over high latitudes in recent years (Bhatt *et al* 2013, Jeong *et*  
260 *al* 2013, Piao *et al* 2014). As shown by figure 2, although the MAT increased even faster from  
261 mid-1990s to mid-2000s (e.g., 1993-2007) than the whole period of 1982-2010, the sensitivities  
262 of  $[\text{CO}_2]_{\text{amplitude}}$  and NDVI to MAT were lower during that period than 1982-2010. Given the  
263 fact that temperature in different seasons has non-uniform impacts on vegetation growth (Xia  
264 *et al* 2014), we further analyzed the changes of seasonal temperatures based on the CRU  
265 temperature datasets (see Methods). As shown by figure 3(b), the fastest warming season was  
266 spring during 1985-1999 ( $+0.12 \text{ }^{\circ}\text{C year}^{-1}$ ) but then changed to autumn during mid-1990s to  
267 mid-2000s (e.g.,  $+0.11 \text{ }^{\circ}\text{C year}^{-1}$  in 1993-2007, table S4). It indicates that a better understanding  
268 of the relationship between the seasonal temperature changes and vegetation growth is needed  
269 to explain the slowdown of  $[\text{CO}_2]_{\text{amplitude}}$  from 1990s to mid-2000s.

270 The variation of NDVI during 1982-2010 in northern ecosystems depends substantially  
271 on the GSL on both grid and regional scales (figure 1(b) and figure S6). Further partial  
272 correlation analysis showed that the SOS (partial  $r = -0.36$ ) was more dependent on temperature  
273 change than the EOS (partial  $r = 0.018$ , figure 3(c) and figure S7). During 1982-2010, the SOS  
274 was advanced by  $2.15 \text{ day }^{\circ}\text{C}^{-1}$  with spring warming, whereas warming in autumn only delayed  
275 EOS by  $0.80 \text{ day }^{\circ}\text{C}^{-1}$  in northern ecosystems. As a result, the advancing rate of SOS over the  
276 moving 15 years has decreased but the extending rate of EOS was not significantly increased  
277 during 1982-2010 (figure S8 and table S5). Meanwhile, the increasing rate of NDVI until it  
278 stalled in mid-1990s is driven by warming-induced increase in spring and early summer NDVI

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4 279 along with the advancement of SOS (figure S8), for which spring warming has stalled after  
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6 280 mid-1990s. It has contributed to the decline in the rate of  $[\text{CO}_2]_{\text{amplitude}}$  increase since the mid-  
7  
8 281 1990s. These results together suggest that the non-uniform warming between spring and  
9  
10 282 autumn during mid-1990s and mid-2000s (figure 3(b)) could be an important driving factor for  
11  
12 283 the slowdown of expanding GSL, greening vegetation and the decreasing  $[\text{CO}_2]_{\text{min}}$ . This can  
13  
14 284 qualitatively explain the pause in the enhancement of  $[\text{CO}_2]_{\text{amplitude}}$  (figure 1(a)).

### 16 285 **3.3 The response of vegetation productivity to spring and autumn warming in current** 17 18 286 **terrestrial biosphere models**

19  
20 287 We examined whether TBMs that have been focusing on simulation of C dynamics in northern  
21  
22 288 latitudes can adequately represent the differential impacts of spring and autumn warming on  
23  
24 289 vegetation productivity. The ensemble output of GPP from five TBMs (CLM4.5, CoLM,  
25  
26 290 ORCHIDEE, TEM6 and UVic; table S1) and a flux-based GPP dataset (MTE) were analyzed  
27  
28 291 (figure 4). The dependence of modeled GPP variations on spring-temperature change (with the  
29  
30 292 inter-model mean partial  $r$  as 0.57 under the significant level of  $P < 0.05$ ) is comparable with  
31  
32 293 that of the MTE GPP (partial  $r = 0.53$ ,  $P < 0.05$ ) as well as that of NDVI (partial  $r = 0.49$ ,  $P$   
33  
34 294  $< 0.05$ ; figure 4(b) and figure S9). However, the dependences of GPP variations on autumn-  
35  
36 295 temperature change is more positive in the models (inter-model mean partial  $r = 0.31$ ,  $P < 0.05$ )  
37  
38 296 than the MTE GPP (partial  $r = -0.04$ ,  $P < 0.05$ ) and NDVI (partial  $r = -0.16$ ,  $P < 0.05$ ; figure  
39  
40 297 4(b) and figure S10). This mismatch between modeling and data-oriented results indicates that  
41  
42 298 the current TBMs overestimate the positive impact of rising MAT on ecosystem  $\text{CO}_2$  uptake in  
43  
44 299 the autumn.

45  
46 300 As the land biogeochemical component in most Earth system models is similar to the  
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48 301 TBMs in this study, it is still challenging to accurately simulate the seasonal cycle of  
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50 302 atmospheric  $\text{CO}_2$ . The findings of this study suggest that a better representation of the warming  
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52 303 impacts on autumn phenology could partially improve the models' performance. However, the  
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54 304 autumn phenology is diversely represented in different models. For example, leaf senescence  
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56 305 in the ORCHIDEE model is simulated as the timing when monthly temperature falls below a  
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58 306 given number, which varies with plant function type (Krinner *et al* 2005). In the TEM, growing  
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4 307 season ended when the soil temperature is lower than the frozen point. However, leaf-  
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6 308 senescence events are collectively affected by not only temperature but also day length  
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8 309 (Ballantyne *et al* 2017), radiation (Bauerle *et al* 2012) and even spring phenology (Liu *et al*  
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10 310 2016, Keenan & Richardson 2015). In fact, the poor representation of autumn phenology by  
11  
12 311 the models has been raised in some previous studies (Richardson *et al* 2010, 2012; Keenan &  
13  
14 312 Richardson 2015). Thus, combining the different types of phenological data (e.g., Richardson  
15  
16 313 *et al* 2018) with better phenology models could be helpful to improve the simulation of the  
17  
18 314 seasonal cycle of atmospheric CO<sub>2</sub> at high latitudes in Earth system models.

### 20 315 **3.4 The role of the non-uniform warming in regulating the seasonal atmospheric CO<sub>2</sub>** 21 22 316 **cycle**

23  
24 317 This study highlights that the asymmetric responses of vegetation growth to spring and autumn  
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26 318 warming is an important driver for the decadal changes in the seasonality of atmospheric CO<sub>2</sub>.  
27  
28 319 In spring, solar radiation is not limiting as temperature (Tanja *et al* 2003). Thus, spring warming  
29  
30 320 extends the growing season length by advancing the onset of plant photosynthesis (Piao *et al*  
31  
32 321 2008), leading to the increasing vegetation productivity and the decreasing [CO<sub>2</sub>]<sub>min</sub> of the  
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34 322 atmospheric CO<sub>2</sub> seasonal cycle. In autumn, solar radiation can obstruct the accumulation of  
35  
36 323 abscisic acid (Gepstein & Thimann 1980) and substantially delay the timing of leaf senescence.  
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38 324 Photoperiod is a more proximal factor than temperature in controlling senescence (Bauerle *et*  
39  
40 325 *al* 2012). Thus, autumn warming has a neutral impact on vegetation productivity (figure 3(c))  
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42 326 over the northern lands.

43  
44 327 Warming in autumn as well as in spring could potentially enhance the peak of  
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46 328 atmospheric CO<sub>2</sub> seasonal cycle by stimulating the respiratory processes of plants and soil  
47  
48 329 microorganisms (Piao *et al* 2008, 2017a). As shown by the FLUXCOM database (Jung *et al*  
49  
50 330 2017), the increasing trends of total ecosystem respiration during both growing and non-  
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52 331 growing seasons were significantly larger in mid-1990s to mid-2000s (e.g., 1993-2007) than  
53  
54 332 1982-2010 (figure S11). This result is consistent with previous findings that the warming  
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56 333 induced increases in respiration could partially cancel out the impact of enhanced  
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58 334 photosynthesis on the atmospheric CO<sub>2</sub> seasonality in North Hemisphere (Gonsamo *et al* 2017,  
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4 335 Jeong *et al* 2018). However, further conclusions are limited by quantifying the contributions of  
5  
6 336 increased respiration to the slowdown of CO<sub>2</sub> amplitude since mid-1990s. Future studies could  
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8 337 improve on the present analysis through breaking the limitation.  
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10 338 Both spring and autumn are likely to keep warming in future scenarios (IPCC, 2013), and  
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12 339 further warming could trigger some limitations on vegetation productivity. For example, early  
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14 340 spring warming may slow the fulfillment of chilling requirement for spring leaf phenology and  
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16 341 thus delay the SOS (Yu *et al* 2010, Fu *et al* 2015, Vitasse *et al* 2018). The spring warming  
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18 342 induced advancement of leaf unfolding date could increase the risk of frost damage to buds  
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20 343 (Inouye 2008) and decrease soil water availability for subsequent peak productivity (Buermann  
21  
22 344 *et al* 2013). Autumn warming may cause more cloudy days with less radiation (Vesala *et al*  
23  
24 345 2010), which may accelerate the ending of growing season (Bauerle *et al* 2012). Meanwhile,  
25  
26 346 warm autumns strengthen the evapotranspiration during the late growing season and intensify  
27  
28 347 the stresses of drought on vegetation growth (Barichivich *et al* 2013).

#### 30 348 **4 Conclusions**

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33 349 This study detected a slowdown of the increase in atmospheric CO<sub>2</sub> amplitude during mid-  
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35 350 1990s to mid-2000s. This phenomenon was correlated with the pause of increasing NDVI and  
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37 351 advancing SOS across the lands at northern high latitudes during the same period. The changes  
38  
39 352 of vegetation greenness and growing-season length were temporally correlated with the stalled  
40  
41 353 increase in spring temperature since mid-1990s. Warming in autumn was persistent during this  
42  
43 354 period, suggesting that the non-growing season respiration could be more important in  
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45 355 governing the future increase in seasonal CO<sub>2</sub> amplitude (Jeong *et al* 2018). These findings  
46  
47 356 emphasize that the asymmetric responses of vegetation growth to spring and autumn warming  
48  
49 357 is important in influencing the change of atmospheric CO<sub>2</sub> amplitude. This study also indicates  
50  
51 358 that global carbon-cycle models need to better represent the phenological response to  
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53 359 temperature change for accurately simulating the seasonal cycle of atmospheric CO<sub>2</sub>. Overall,  
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55 360 this study confirms that the recent non-uniform climate warming among seasons has played an  
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57 361 important role in regulating the temporal trends of vegetation growth and atmospheric CO<sub>2</sub>  
58  
59 362 amplification over the northern lands.  
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## 377 **Author information and contributions**

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379 J. Xia ([jyxia@des.ecnu.edu.cn](mailto:jyxia@des.ecnu.edu.cn)). JX designed the study and ZL performed the analyses. AR,  
380 CK, DJH, DJ, EB, GK, GC, JCM, PC, SP and ADM provided the modeling results. All authors  
381 contributed extensively to the writing and discussions.

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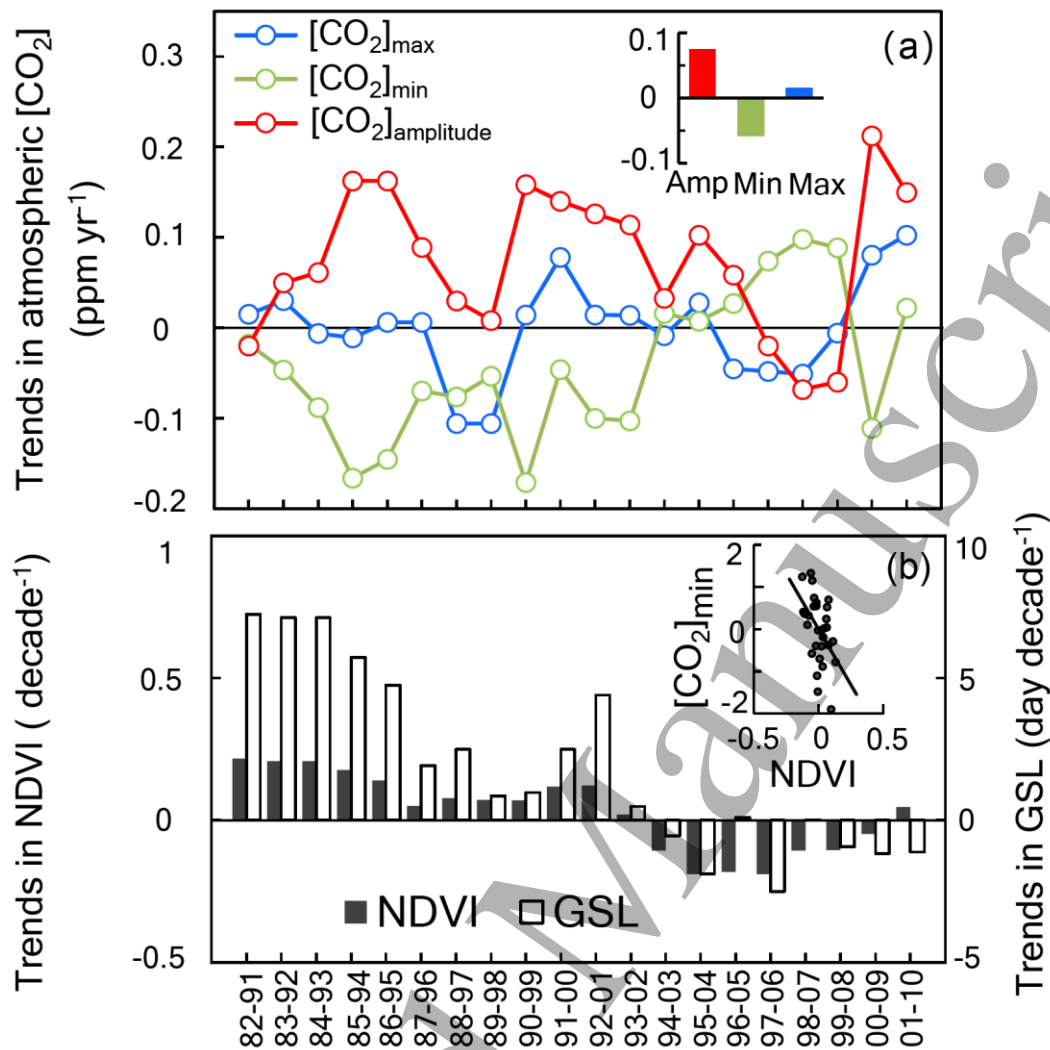
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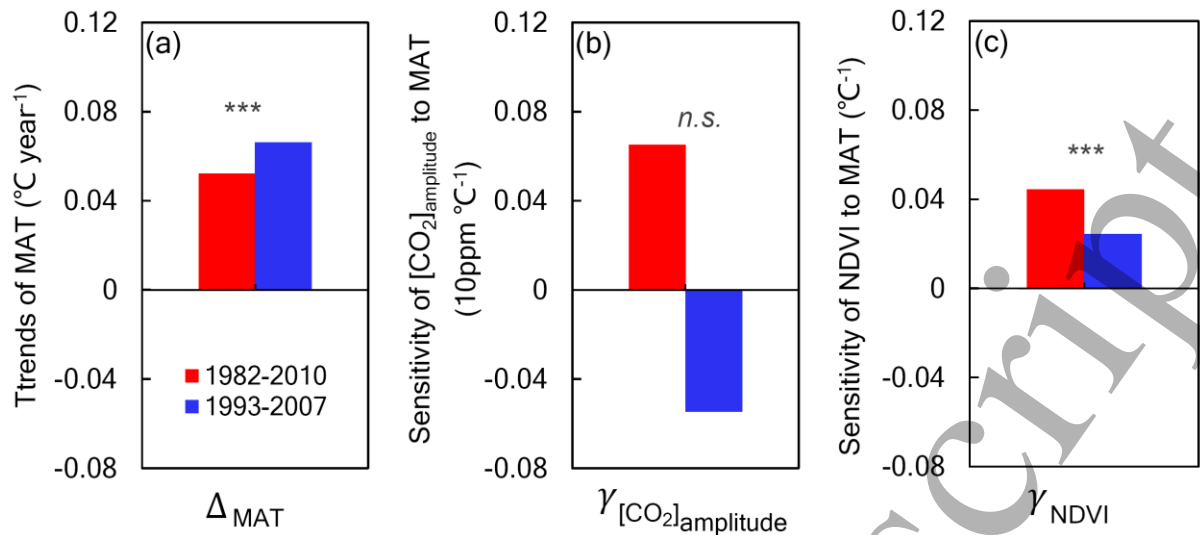
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671 **Figures**

672

673 **Figure 1. Changes in temporal trends of CO<sub>2</sub> amplitude and plant growth (NDVI).** 10-  
 674 year moving window from 1982 to 2010 show the changing trends of (a), the peak-to-trough  
 675 amplitude ([CO<sub>2</sub>]<sub>amplitude</sub>) and yearly maximum CO<sub>2</sub> concentration ([CO<sub>2</sub>]<sub>max</sub>) as well as the  
 676 minimum CO<sub>2</sub> concentration ([CO<sub>2</sub>]<sub>min</sub>) at Point Barrow (BRW); (b) NDVI and growing season  
 677 length (GSL). The insert in panel A represents changing rates of the [CO<sub>2</sub>]<sub>amplitude</sub>, [CO<sub>2</sub>]<sub>max</sub> and  
 678 [CO<sub>2</sub>]<sub>min</sub> during 1982-2010. The insert in panel (a) shows the long-term trends of [CO<sub>2</sub>]<sub>amplitude</sub>  
 679 (red column, 0.075 ppm yr<sup>-1</sup>,  $P < 0.01$ ), [CO<sub>2</sub>]<sub>min</sub> (green column, -0.058 ppm yr<sup>-1</sup>,  $P < 0.01$ ) and  
 680 [CO<sub>2</sub>]<sub>max</sub> (blue column, 0.016 ppm yr<sup>-1</sup>,  $P = 0.17$ ) across 1982 to 2010. The insert in panel (b)  
 681 shows the correlation between the yearly anomalies of the [CO<sub>2</sub>]<sub>min</sub> and NDVI during 1982-  
 682 2010 (with  $r = -0.47$ ,  $P < 0.05$ ).

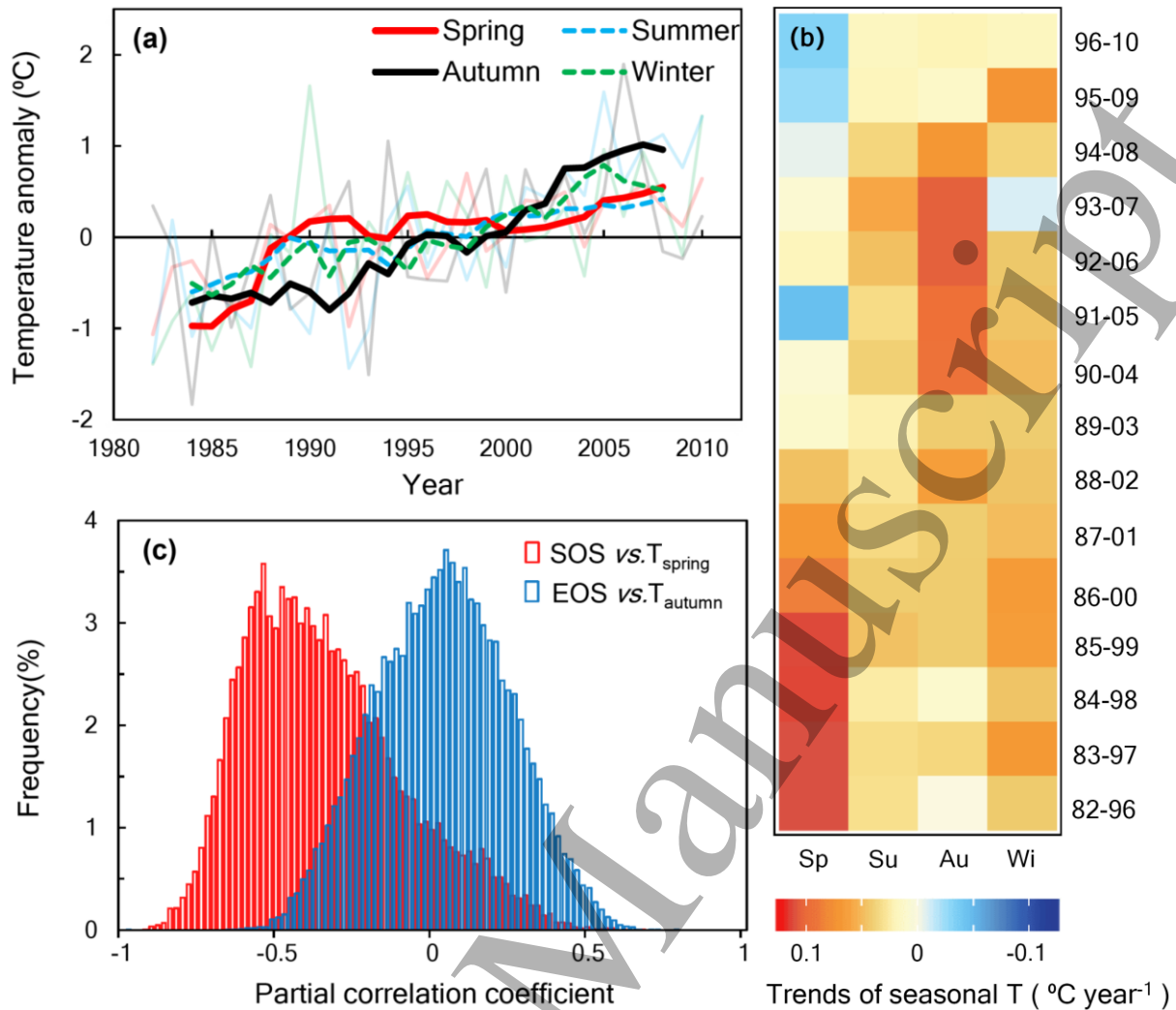


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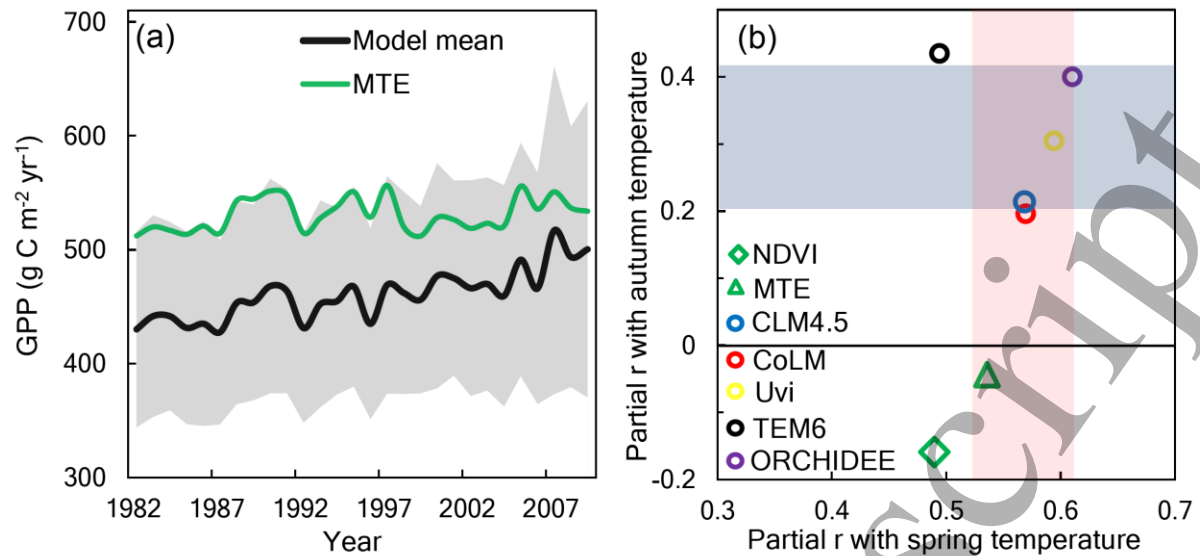
684 **Figure 2. The changes of mean annual temperature (a.  $\Delta_{MAT}$ , °C year<sup>-1</sup>) and the**  
 685 **temperature sensitivities of atmospheric CO<sub>2</sub> seasonal amplitude (b.  $\gamma_{[CO_2]_{amplitude}}$ ,**  
 686 **10ppm °C<sup>-1</sup>) and of the NDVI (c.  $\gamma_{NDVI}$ , °C<sup>-1</sup>) during 1982-2010 and 1993-2007.**

687 \*\*\*Significant difference at  $P < 0.01$ , and *n.s.* represents no significance.

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689  
690 **Figure 3. Temporal variations of seasonal temperature over northern lands (>50°N).** (a)  
691 The year-to-year anomalies were shown by the transparent lines and their 5-year running means  
692 were displayed by the solid (spring and autumn) and dashed lines (summer and winter). (b) 15-  
693 year moving windows from 1982 to 2010 show the trends of seasonal temperature changes. (c)  
694 Frequency histograms of the sensitivity (i.e., the partial correlation coefficient) of SOS to  
695 spring temperature change (SOS vs.  $T_{\text{spring}}$ ) and EOS to autumn temperature change (EOS vs.  
696  $T_{\text{autumn}}$ ) from 1982 to 2010. Note that the negative values of the X-axis represent the advanced  
697 SOS or EOS with temperature increase.



698

699 **Figure 4. Temporal variations of GPP and its dependence on spring and autumn**  
 700 **temperature. (a)** Temporal changes of annual total GPP over northern lands (>50°N) from a  
 701 flux-based dataset (i.e., MTE) and five terrestrial biosphere models (See Methods). The green  
 702 line show the annual MTE GPP. The black line represents the model averages and the grey area  
 703 is the standard deviation among models. **(b)** Mean partial correlation coefficient (partial  $r$ ) of  
 704 NDVI and GPP to spring temperature and autumn temperature changes. The shaded areas  
 705 represent the standard deviations of the partial  $r$  among models. Note that only the grid cells  
 706 with significant partial correlation ( $P < 0.05$ ) were included in this analysis.